





## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WYW.USDIO.GOV

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/902,540

07/10/2001

Barry S. Goldman

38-10(15849)B

**CONFIRMATION NO. 6847** 

27161 MONSANTO COMPANY 800 N. LINDBERGH BLVD. ATTENTION: G.P. WUELLNER, IP PARALEGAL, (E2NA) ST. LOUIS, MO 63167

\*OC00000006566813\*

FORMALITIES LETTER

Date Mailed: 09/21/2001

# -NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$946.
  - \$306 for 17 total claims over 20.
  - \$640 for 8 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance die by applicant is \$ 1786.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

12/18/2001 TSTOKES 00000003 134125

09902540

Initial Patent Examination Division (703) 308-1202

20

138.88 CH

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101

740.00 CH

02 FC:102

168.00 CH

03 FC:103

36.00 CH

89982548



CEREON GENOMICS, LLC 45 SIDNEY STREET CAMBRIDGE, MA 02139

DATE	November 9, 2001	FACSIMILE
То	United States Patent and Trademark Office Office of Initial Patent Examination	نسخة طبق الأصل
	BOX MISSING PARTS	ファクシミリ送付状
Fax	(703)308-7751	FAC-SIMILÉ
FROM	Donna Scherer	
PHONE	(617) 551-8237	Факсимиле ,
FAX	(617) 551-1960	FAKSIMILE
cc	,	फ़ैक्सिमिलि
SUBJECT	Response to Notice to File Missing Parts Serial Number: USSN 09/902,540 Attorney Docket Number: 38-10(15849)B	TELEFAX
	Title: Myxococcus xanthus Genome Sequences and Uses Thereof Inventors: Barry S. Goldman et al.	โทรสาร
	•	FAC-SÍMILE
PAGES	11 (counting this cover sheet)	傳真

FAKSYMILE

전송 사진

TELEFAKS

传真

FAX

Privileged Information may be contained in this fax and is intended only for the use of the addressee. If you are not the addressee, do not copy or deliver this to anyone else. If you receive this fax by mistake, telephone the sender. Thank you.



PTO/SB/97 Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

38-10(15849)B

### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

November 9, 2001 on

Date

Signature

Donna E. Scherer

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

In re Application of : GOLDMAN, et al.

Serial:No. 09/902,540 Filed: July 10, 2001

For: MYXOCOCCUS XANTHUS GENOME SEQUENCES AND USES THEREOF

Paper's submitted:

Response to Notice to File Missing Parts and Preliminary Amendment (4 pages)

Copy of Notice to File Missing Parts

Declaration (3 pages)

Fee Transmittal

Burden Hour Statement: This form is estimated to take 0.03 nours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231:

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	1	0	7	Z
I D I	- 1	v	•	۰

Co		
Application Number	09/902,540	
Filing Date	July 10, 2001	
First Named Inventor	Barry S. Goldman	
Examiner Name		
Group Art Unit		
Attorney Docket No.	38-10(15849)B	

METHOD; OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES				
Deposit	Large Small Entity Entity				
Account Number 13 - 4125	,,	Fee Paid			
Account Name Monsanto Company	105 130 205 65 Surcharge - late filling fcc or dath	130			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 11.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	ľ			
2. Payment Enclosed: Check Credit card Money Other	112 920" 112 920" Requesting publication of SIR prior to Examiner action				
Check Credit card Order Other	113 1,840° 113 1,840° Requesting publication of SIR after				
FEE CALCULATION	Examiner action	-			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	-			
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month				
101 740 201 370 Utility filing fcc 740	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 209 370 Ficissus filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
SUBTOTAL (1) (\$) 740	138 1,510 138 1,510 Petition to institute a public use proceeding				
	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Pald	142 1,280 242 640 Utility issue fee (or reissue)				
ladacada.	143 460 243 230 Design Issue fee				
Claims Myltiple Dependent    5   -3 =   2   x   84   =   168	144 620 244 310 Plantissue fee				
Middly is Dependent	122 130 122 130 Petitions to the Commissioner				
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1,17(q)				
Fee Fee Fee Fee Fcc Description	126 180 126 180 Submission of Information Disclosure Stimb				
Code (\$) Code (\$) 103 18 203 9 ¢1alms in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 84 202 42 Independent claims in excess of 3	146 740 248 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 280 204 140 Valuliple dependent claim, if not paid  109 84 209 42 Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 204	SUBTOTAL (2) (\$) 204 Other fee (specify)				
Tor number previously paid if greator; For Reissues, see above Reduced by Basic Filing Fcc Paid SUBTOTAL (3)					

SUBMITTED BY Complete (If applicable)				applicable)	
Name (PrintType)	Donna E. Scherer	Registration No. (Attorney/Agon)	34,719	Telephona	617-551-8237
Signature				Date	November 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.